Heath & Safety Systems and Compliance Audit

ORGANISATION: Insert   DATE: Insert   LEGEND: Y = Addresses criteria, P = Partially addresses criteria, N = Does not address criteria

1. CONTEXT OF THE ORGANISATION AND SCOPE		45001 REFERENCE	FINDINGS	SYSTEM REFERENCE	AUDIT COMMENTS	ACTIONS	IMPLEMENTATION
1.1	Has the organisation identified the external and internal issues that are relevant to the health and safety management system including: a) Issues that may impact development and implementation b) Other relevant stakeholders or interested parties other than workers c) The relevant needs and expectations of workers and other interested parties d) Needs and expectations that are or could become legal requirements	4.1, 4.2	Y	(Reference policy, procedure, registers etc)	(Provide a summary of observations and how the systems address the criteria)	(Provide recommendations to address gaps identified)	(Provide updates on actions / recommendations implemented)
1.2	Has the organisation determined the boundaries and applicability of the health and safety management system to establish its scope, specifically: a) Considered the external and internal issued (per 1.1) b) Taken into account the requirements (pre 1.1) c) Taken into account the planned and performed work-related activities	4.3					
1.3	Has the scope of the health and safety management system or structure of the system been documented?	4.3, 4.4					
2. LEADERSHIP COMMITMENT		45001 REFERENCE	FINDINGS	SYSTEM REFERENCE	AUDIT COMMENTS	ACTIONS	IMPLEMENTATION
2.1	Has organisational leadership established and communicated their commitments and responsibilities in relation to health and safety?	5.1					
2.2	Do these commitments and responsibilities address: a) Accountability and responsibility for the prevention of harm b) Objectives, targets and strategic alignment c) Integration of health and safety management systems into operations d) Providing appropriate resources to address health and safety needs e) Proactive risk management and safe systems of work f) Proactive reporting and learning culture g) Communication, consultation and participation h) Measurement, monitoring and continuous improvement	5.1					
3. HEALTH AND SAFETY POLICY		45001 REFERENCE	FINDINGS	SYSTEM REFERENCE	AUDIT COMMENTS	ACTIONS	IMPLEMENTATION
3.1	Has the organisation established a health and safety policy that is endorsed by top management?	5.2					

Heath & Safety Systems and Compliance Audit Dashboard

ORGANISATION: Insert

DATE: Insert

AUDIT AREAS	SCORE %
1. CONTEXT OF THE ORGANISATION AND SCOPE	0
2. LEADERSHIP COMMITMENT	0
3. HEALTH AND SAFETY POLICY	0
4. ORGANISATIONAL ROLES, RESPONSIBILITIES AND AUTHORITIES	0
5. CONSULTATION AND PARTICIPATION OF WORKERS	0
6. PLANNING ACTIONS TO ADDRESS RISKS AND OPPORTUNITIES	0
7. HAZARD IDENTIFICATION AND ASSESSMENT OF RISKS AND OPPORTUNITIES	0
8. HEALTH AND SAFETY OBJECTIVES	0
9. SUPPORT	0
10. OPERATIONAL RISK MANAGEMENT	0
11. INCIDENT AND EMERGENCY MANAGEMENT	0
12. MONITORING, MEASUREMENT AND CONTINUOUS IMPROVEMENT	0

Sample